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PATENT

Docket No.: 36287-01401

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : BLAUVELT et al.  
Serial No. : 10/032,653 Group Art Unit : 2185  
Filed : November 13, 2001 Examiner : TBA  
For : **METHOD AND SYSTEM FOR MATCHING SHORT TRADING  
POSITIONS WITH LONG TRADING POSITIONS**

**CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))**

Box No Fee  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

I hereby certify that the attached:

1. Response to Notice to File Corrected Application Papers (in duplicate);
2. Substitute Drawings on 3 sheets;
3. Copy of Notice to File Corrected Application Papers; and
4. Return Receipt Postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Assistant Commissioner For Patents, Washington, D.C. 20231.

Respectfully submitted,  
Milbank Tweed Hadley & McCloy LLP

Chris L. Holm

Mar 8, 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

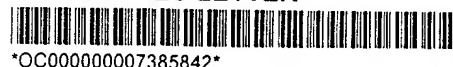
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,653	11/13/2001	Joseph P. Blauvelt	36287-01401

27171  
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CONFIRMATION NO. 6910

## FORMALITIES LETTER



\*OC000000007385842\*

Date Mailed: 01/29/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

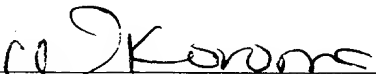
*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be in dark ink (not pencil), except where color drawings or photographs are permitted.

*A copy of this notice MUST be returned with the reply.*

  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE